SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Henry Gregory N			2. Date of Requiring (Month/Da 07/21/20	Statement ay/Year)	3. Issuer Name and Ticker or Trading Symbol <u>Couchbase, Inc.</u> [BASE]				
(Last) (First) (Middle) 3250 OLCOTT STREET				4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Own		wner 6	Filed (Month/Day/Year) ner 6. Individual or Joint/Group Filing		
(Street) SANTA CLARA	CA	95054	_		X Officer (give Other title below) below) SVP & Chief Financial Off			Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)							
		Т	able I - No	n-Derivat	ive Securities Benefi	cially Ov	vned		
1. Title of Security (Instr. 4)				2. Amount of Securities Beneficially Owned (Instr. 4)	3. Owne Form: D (D) or Ir (I) (Instr	Direct Ov direct		ature of Indirect Beneficial ership (Instr. 5)	
Table II - Derivative Securities Beneficially Owned(e.g., puts, calls, warrants, options, convertible securities)									
		(e.g							
1. Title of Deriv	vative Security	/ (Instr. 4)		IIS, Warra		ible sec	4. Conversio or Exercis	e Form:	6. Nature of Indirect Beneficial Ownership (Instr.
1. Title of Deriv	vative Security	/ (Instr. 4)	J., puts, ca 2. Date Exerc Expiration Da	IIS, Warra	nts, options, convert	ible sec	4. Conversio	n Ownership	Indirect Beneficial
1. Title of Deriv Stock Option		/ (Instr. 4)	J., puts, ca 2. Date Exerc Expiration Da (Month/Day/) Date	IIS, Warra cisable and ate (ear) Expiration	nts, options, convert 3. Title and Amount of Se Underlying Derivative Sec (Instr. 4)	ible sect curities curity Amount or Number of	4. Conversio or Exercis Price of Derivative	n Ownership Form: Direct (D) or Indirect	Indirect Beneficial Ownership (Instr.
	(right to buy	y (Instr. 4)	J., puts, ca 2. Date Exerc Expiration Da (Month/Day/V Date Exercisable	IIS, WARRA	nts, options, convert 3. Title and Amount of Se Underlying Derivative Sec (Instr. 4) Title	ible sect curities curity Amount or Number of Shares	4. Conversio or Exercis Price of Derivative Security	n Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	Indirect Beneficial Ownership (Instr.
Stock Option	ı (right to buy (right to buy	y (Instr. 4) y) y)	J., puts, ca 2. Date Exerc Expiration Di (Month/Day/N Date Exercisable	Lis, warra cisable and ate (ear) Expiration Date 11/28/2026	Title Common Stock	ible sectorities curities curity Amount or Number of Shares 293,218	4. Conversio or Exercis Price of Derivative Security 4.68	n e Form: Direct (D) or Indirect (I) (Instr. 5)	Indirect Beneficial Ownership (Instr.
Stock Option Stock Option	(right to buy (right to buy (right to buy	y (Instr. 4) y) y) y)	J., puts, ca 2. Date Exerc Expiration Di (Month/Day/N Date Exercisable (1) (2)	IIS, WARRA cisable and ate (ear) Expiration Date 11/28/2026 04/02/2028	Title Common Stock	ible sector curities curity Amount or Number of Shares 293,218 25,999	4. Conversio or Exercis Price of Derivative Security 4.68 7.45	n e Direct (D) or Indirect (I) (Instr. 5) D D	Indirect Beneficial Ownership (Instr.

Explanation of Responses:

1. One fourth (1/4th) of the shares subject to the option vested on November 28, 2017 and one forty-eighth (1/48th) of the shares vest monthly thereafter, subject to the Reporting Person's continued service through each such date.

2. One fourth (1/4th) of the shares subject to the option vested on February 1, 2019 and one forty-eighth (1/48th) of the shares vest monthly thereafter, subject to the Reporting Person's continued service through each such date.

3. One fourth (1/4th) of the shares subject to the option vested on February 1, 2020 and one forty-eighth (1/48th) of the shares vest monthly thereafter, subject to the Reporting Person's continued service through each such date.

4. One fourth (1/4th) of the shares subject to the option vested on February 1, 2021 and one forty-eighth (1/48th) of the shares vest monthly thereafter, subject to the Reporting Person's continued service through each such date.

5. One fourth (1/4th) of the shares subject to the option vest on February 1, 2022 and one forty-eighth (1/48th) of the shares vest monthly thereafter, subject to the Reporting Person's continued service through each such date.

Remarks:

Exhibit 24 - Power of Attorney

/s/ Margaret Chow, by Power of Attorney for Gregory N. Henry ** Signature of Reporting

Person

07/21/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

The undersigned, as a Section 16 reporting person of Couchbase, Inc. (the "Company"), hereby constitutes and appoints Margaret Chow, Randy Li, Emily Doan, Bill Carey, Manisha Goel, and Carolyn Belamide, as the undersigned's true and lawful attorney-in-fact to:

- complete and execute Forms 3, 4 and 5 and other forms and all amendments thereto as such attorney-in-fact shall in his discretion determine to be required or advisable pursuant to Section 16 of the Securities Exchange Act of 1934 (as amended) and the rules and regulations promulgated thereunder, or any successor laws and regulations, as a consequence of the undersigned's ownership, acquisition or disposition of securities of the Company; and
- 2. do all acts necessary in order to file such forms with the SEC, any securities exchange or national association, the Company and such other person or agency as the attorney-in-fact shall deem appropriate.

The undersigned hereby ratifies and confirms all that said attorney-infact and agents shall do or cause to be done by virtue hereof. The undersigned acknowledges that the foregoing attorney-in-fact, in serving in such capacity at the request of the undersigned, is not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934 (as amended).

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the Company and the foregoing attorney-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 13th day of June, 2021.

Signature: /s/ Gregory N. Henry Print Name: Gregory N. Henry