SEC Form 3 FORM 3

# UNITED STATES SECURITIES AND EXCHANGE

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and <u>Cain Ma</u>	Address of Rep <u>tthew M</u>	Requiring	g Statement Day/Year)	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Couchbase, Inc.</u> [ BASE ]									
(Last) 3250 OLC	(First) OTT STREE	(Middle) Г			4. Relationship of Reportin Issuer (Check all applicable) X Director			ng Person(s) to 10% Owner		<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing</li> </ul>			
(Street) SANTA CA 95054 CLARA					Officer (give title below) President &		Other (specify below)		(Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)											
Table I - Non-Derivative Securities Beneficially Owned													
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)		tr.				4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock					4,250			D	D				
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)													
(M			Expiration Da	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Secu Underlying Derivative Secu (Instr. 4)		curity Co or		rsion rcise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
			Date Exercisable	Expiration Date	Title		Nu	iount or mber of ares	per of Security		Direct (D) or Indirect (I) (Instr. 5)	5)	
Stock Option (right to buy)		(1)	04/23/2027	Con	nmon Stock	1,2	1,219,402 5		18	D			
Stock Option (right to buy)		(2)	04/02/2028	Con	nmon Stock	60,000 7.4		15	D				
Stock Option (right to buy)		(3)	06/13/2029	Con	nmon Stock	79,999 7.4		18	D				
Stock Option (right to buy)		(4)	06/23/2030	Con	nmon Stock	299,999 7.		7.7	75	D			
Stock Option (right to buy)		(5)	03/09/2031	Con	nmon Stock	39	399,999 21.4		.4	D			

#### Explanation of Responses:

1. This option is fully vested and immediately exercisable.

2. One fourth (1/4th) of the shares subject to the option vested on February 1, 2019 and one forty-eighth (1/48th) of the shares vest monthly thereafter, subject to the Reporting Person's continued service through each such date.

3. One fourth (1/4th) of the shares subject to the option vested on February 1, 2020 and one forty-eighth (1/48th) of the shares vest monthly thereafter, subject to the Reporting Person's continued service through each such date.

4. One fourth (1/4th) of the shares subject to the option vested on February 1, 2021 and one forty-eighth (1/48th) of the shares vest monthly thereafter, subject to the Reporting Person's continued service through each such date.

5. One fourth (1/4th) of the shares subject to the option vest on February 1, 2022 and one forty-eighth (1/48th) of the shares vest monthly thereafter, subject to the Reporting Person's continued service through each such date.

### Remarks:

Exhibit 24 - Power of Attorney

/s/ Margaret Chow, by Power of Attorney for Matthew M. Cain \*\* Signature of Reporting

Person

07/21/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

#### POWER OF ATTORNEY

The undersigned, as a Section 16 reporting person of Couchbase, Inc. (the "Company"), hereby constitutes and appoints Margaret Chow, Randy Li, Emily Doan, Bill Carey, Manisha Goel, and Carolyn Belamide, as the undersigned's true and lawful attorney-in-fact to:

- complete and execute Forms 3, 4 and 5 and other forms and all amendments thereto as such attorney-in-fact shall in his discretion determine to be required or advisable pursuant to Section 16 of the Securities Exchange Act of 1934 (as amended) and the rules and regulations promulgated thereunder, or any successor laws and regulations, as a consequence of the undersigned's ownership, acquisition or disposition of securities of the Company; and
- 2. do all acts necessary in order to file such forms with the SEC, any securities exchange or national association, the Company and such other person or agency as the attorney-in-fact shall deem appropriate.

The undersigned hereby ratifies and confirms all that said attorney-infact and agents shall do or cause to be done by virtue hereof. The undersigned acknowledges that the foregoing attorney-in-fact, in serving in such capacity at the request of the undersigned, is not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934 (as amended).

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the Company and the foregoing attorney-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 15th day of June, 2021.

Signature: /s/ Matthew M. Cain Print Name: Matthew M. Cain